

## **Active antecedent search triggered by cataphors persists past the subject: evidence from Norwegian and English.**

Anna Giskes & Dave Kush, NTNU Trondheim  
anna.giskes@ntnu.no

**Background** Cataphors precede their antecedents and cannot be fully interpreted until the antecedent is encountered. During online sentence processing, comprehenders expect coreference between a cataphor and the upcoming main clause subject [1-2]. For example, Van Gompel & Liversedge [1] observed a slowdown when the main clause subject mismatched the gender of the preceding cataphor in sentences like *When [HE/SHE] arrived, the boy immediately...* Some researchers have argued that such Gender Mismatch Effects (GMME) reflect an active search for the antecedent [3].

**Persistent Search Hypothesis** Active antecedent search has been compared to active filler-gap processing [3,4], which has been shown to involve a persistent search for a gap site: after encountering a filled gap, the parser keeps positing the gap in upcoming positions as the sentence unfolds (e.g. [5]). If the same mechanism underlies active gap filling and cataphoric processing, it may be characterized as a more general parsing strategy for processing dependencies in which the interpretation of the first element depends on the last element.

**Subject Prediction Hypothesis** Alternatively, the observed antecedent GMMEs may reflect an expectation that is confined to subject position. A limitation of many previous cataphor studies is that they focused on GMMEs in subject position, which is structurally prominent and, as a canonical topic position, a likely and commonly occurring position for pronoun antecedents [6,7]. If the cataphoric MME reflects an expectation of coreference based on these specific (syntactic, information-structural, and distributional) characteristics of the subject position, the effect should not extend beyond the subject position.

**Experiments** In two SPR experiments in Norwegian (exp. 1) and English (exp. 2), we tested whether active cataphor- antecedent search occurs in object position if the subject does not provide a matching antecedent. In the test sentences (figs. 1&2) we manipulated the syntactic position of a proper name (main clause subject or object), and the gender match between the proper name and the preposed cataphor, resulting in a 2x2 (Position x Match) design. In the Object conditions, the main clause subject was always a plural DP, never providing a matching antecedent for the cataphor.

**Analysis** (resp. N=52, N=80). Using LMEMs (max. random effect structure whenever it allowed convergence), we analyzed log-transformed reading times of the NAME and spillover regions (exp.1: separate LMEMS for subject and object conditions, Match as fixed effect, exp. 2: LMEM with Match, Position, and their interaction as fixed effects).

**Results** (See figs. 3&4)

**Exp.1:** We observed a significant Mismatch slowdown in both the NAME region ( $t = 2.67$ ) and spillover region ( $t = 2.74$ ) in Subject position. In the Object conditions, we also observed a Mismatch slowdown, significant only in the spillover region ( $t = 2.18$ ).

**Exp.2:** The LMEM revealed a significant main effect of Match for both the NAME region ( $t = 2.38$ ) and spillover region ( $t = 4.12$ ) and no significant interaction with Position, indicating that a GMME occurred regardless of syntactic position.

**Conclusion** In both experiments, we observed GMMEs regardless of syntactic position. These results are consistent with the Persistent Search Hypothesis: cataphor GMMEs may be characterized as active search that persists past the main clause subject, similar to filler-gap processing.

**References** [1] Van Gompel, R. P., & Liversedge, S. P. (2003). JEP: Learning, Memory, and Cogn., 29(1), 128. [2] Cowart, W., & Cairns, H. S. (1987). Memory & Cognition, 15(4), 318- 31. [3] Kazanina, N. et al. (2007). JML, 56(3), 384-409. [4] Yoshida, M. et al., (2014). Language, Cognition and Neuroscience, 29(7), 761-770. [5] Stowe, L.A. (1986). Language and cogn. processes, 1(3), 227-45. [6] Hobbs, J.R. (1978) Lingua, 44(4),m 311-38. [7] Crawley, R. A. et al., (1990). Journal of Psycholinguistic Research, 19 (4), 245-264.

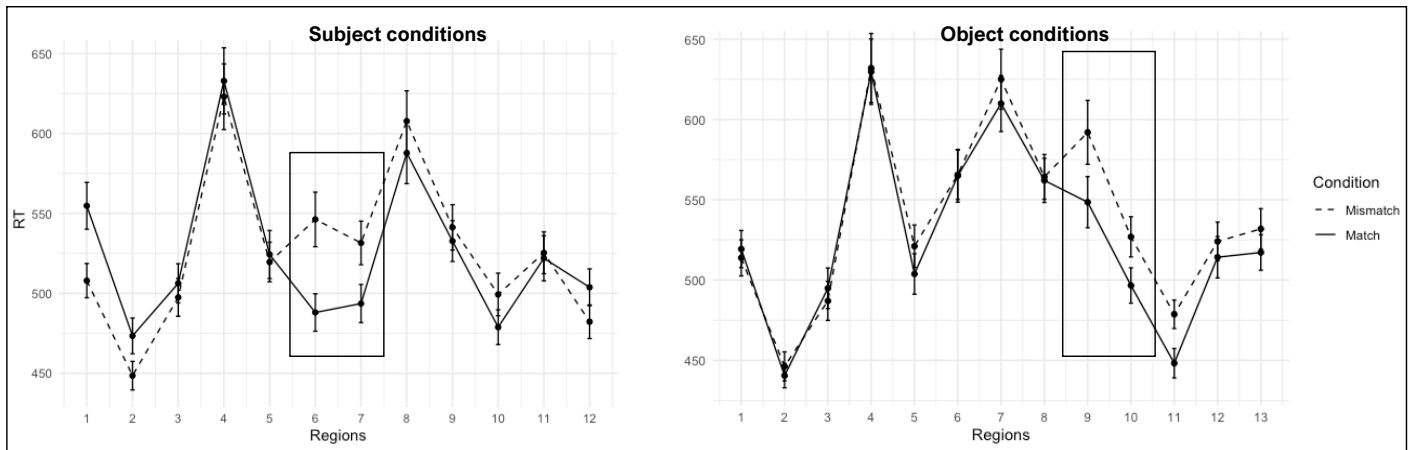
**Figure 1** Item set experiment 1

Subject-Match	Etter at After	<b>han</b> he	hadde had	betalt, paid,	hadde had	<u>Erlend</u> NAME	sendt sent	den ansatte the.SG employee	til magasinet to the_storage_room	for å for to	hente fetch.INF	Livs pakke. Liv's package.	
Subject-Mismatch		<b>hun</b> she											
Object-Match	Etter at After	<b>han</b> he	hadde had	betalt, paid,	hadde had	de nye the.PL new.PL	ansatte employees	sendt sent	<u>Erlend</u> NAME	til magasinet to the_storage_room	for å for to	hente fetch.INF	Livs pakke. Liv's package.
Object-Mismatch		<b>hun</b> she											

**Figure 2** Item set experiment 2 (nr. of regions following spillover region 9 varies per item. )

Subject-M	While	<b>he</b>	was taking	the orders,	<u>Jonathan</u>	accidentally	annoyed	the waitress	by laughing at	her voice.	
Subject-MM		<b>she</b>									
Object-M	While	<b>he</b>	was taking	the orders,	a couple of	customers	annoyed	<u>Jonathan</u>	accidentally	by laughing at	her voice.
Object-MM		<b>she</b>									

**Figure 3** Mean RTs for experiment 1 (NAME and spillover region in box)



**Figure 4** Mean RTs for experiment 2 (NAME and spillover region in box)

